Sheet

\_\_\_15\_\_

FORM PTO G149

SEP 2 7 2004

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.: CV6038US01

**APPLICATION NO.:** 10/792,346

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT:

Duane A. Burnett et al.

FILING DATE: 03/03/2004

**GROUP:** 1614

					100/03	72007	1 1017		
			F	OREIGN I	PATENT DOCUMEN	TS .			
			DOCUMENT	DATE	COUNTRY	CLASS	SUB-	TRANSLA	TIO
				İ				N	<del></del>
			NUMBER	İ			CLASS	YES	N
1/\/	TH	AA	BE 884722 A	12/01/80	BELGIUM	C07D	AG1K	X(abs.)	10
- { \	<del>111</del>	AB	CA 2253769	11/29/99	CANADA	A61K	31/22	A(abs.)	╂
	<del> </del>	AC	DE 2046823 A	03/30/72	GERMANY	C07C	31122	X(abs.)	┢
	+	AD	DE 2521113 A	03/18/76	GERMANY	C07C	87/34	X(abs.)	-
	+	AE	EP 0002151 A1,B1	05/30/79	EUROPE	C07C	69/67	A(aus.)	├
	+	AF	EP 0010299 B1	02/15/84	EUROPE				╂
<u> </u>	<del>                                     </del>	AG	EP 0010299 B1			A61K	45/06		<b>!</b>
	<del>                                     </del>			04/30/86	EUROPE	C07D	405/06		<del> </del>
	╁	AH	EP 0199630 A1	10/29/86	EUROPE	C07D	205/08		<del> </del> —
· ·	╁──	Al	EP 0199630 B1	09/19/90	EUROPE	C07D	205/08	<del></del>	-
	<del> </del>	AJ	EP 0264231 A1	04/20/88	EUROPE	C07D	205/08		<u> </u>
	<del> </del>	AK	EP 0266896 B1	05/11/88	EUROPE	C07D	471/04	ļ	ऻ
	↓	AL	EP 0274873 B1	07/20/88	EUROPE	C07K	11/00	<b>!</b>	ļ
	<b>!</b>	AM	EP 0288973 B1	11/02/88	EUROPE	C07D	417/06		<u> </u>
	ļ	AN	EP 0311366 B1	04/12/89	EUROPE	C07D	471/04	·	<u> </u>
		AO	EP 0333268 A1	09/20/89	EUROPE	C07F	7/18	<u> </u>	1
	ļ	AP	EP 0337549 A1	10/18/89	EUROPE	C07D	205/08		
	<u> </u>	AQ	EP 0337549 B1	10/04/95	EUROPE	C07D	205/08		<u> </u>
		AR	EP 0365364 A2	04/25/90	EUROPE	C07D	205/08		
	<u> </u>	AS:	EP 0369686 A1	05/23/90	EUROPE	C07D	463/00		
		AT	EP 0375527 A1	06/27/90	EUROPE	C07D	205/08	X(abs.)	
		AU	EP 0401705 A3	12/12/90	EUROPE	A61K	31/66		
	1	AV	EP 0415487 A2	03/06/91	EUROPE	C07D	205/08		
·		AW	EP 0455042 A1	11/06/91	EUROPE	. A61K	31/19		
		AX	EP 0457514 A1	11/21/91	EUROPE	A61K	45/06		1
		AY	EP 0457514 B1	08/21/96	EUROPE	A61K	45/06		
		AZ	EP 0461548 A3	12/18/91	EUROPE	A61K	31/365		<b>†</b>
		BA	EP 0462667 A2	12/27/91	EUROPE	C07D	205/08		1
		ВВ	EP 0475148 A1	03/18/92	EUROPE	A61K	31/215		1
	1	BC	EP 0475755 B1	03/18/92	EUROPE	C07D	403/06		<del>                                     </del>
	1	BD	EP 0481671 A1	04/22/92	EUROPE	C07D	205/08		Т
		BE	EP 0482498 A3	04/29/92	EUROPE	A61K	31/66		T
	1	BF	EP 0524595 A1	01/27/93	EUROPE	C07D	205/08	<del>                                     </del>	1
		BG	EP 0720599 B1	07/10/96	EUROPE	C07D	205/08		1
	$\top$	ВН	EP 0793958 A2	09/10/97	EUROPE	A61K	9/16	X(abs)	1
	1 .	ВІ	EP 0814080 A1	12/29/97	EUROPE	C07D	267/14	7.(2.20)	T
	1	BJ	EP 0904781 A2	03/31/99	EUROPE	A61K	31/215	<del>                                     </del>	†
	+	ВК	EP 1048295 A2	11/02/00	EUROPE	A61K	31/216	<del> </del>	<del> </del>
	<del>                                     </del>	BL	FR 1103113	10/31/55	FRANCE	TOTAL TOTAL	101/210	<del> </del>	X
	1	ВМ	FR 2779347	12/10/97	FRANCE	. A61	31/215	X(abs.)	+^
	1	BN	GB 861367	02/22/61	GB	A61K	131/213	Λ(αυσ.)	+-
<b></b> -	<del> </del>	155	00 00000	102122101	100	7017	<del> </del>	<del> </del>	₩

C07D

C07C

59/26

אר*ו* 

BO GB 902658

BP GB 1415295

08/09/62

11/26/75

GB

71/00

31/19

205/08

15/12

31/58

205/08

205/08

X(abs.)

C07J

C07D

A61K

**C07D** 

**C12N** 

A61K

**C07D** 

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO .: APPLICATION NO.: PATENT AND TRADEMARK OFFICE CV06038US01 10/792,346 APPLICANT: INFORMATION DISCLOSURE STATEMENT Duane A. Burnett et al. BY APPLICANT FILING DATE: **GROUP:** (Use several sheets if necessary) 03/03/2004 1614 FOREIGN PATENT DOCUMENTS BQ **A61K** 45/00 GB 2329334 A 03/24/99 GB BR JP 028057 10/01/81 **JAPAN** X(abs.) X(abs.) BS | JP 121479 12/10/86 **JAPAN** BT JP 136485 05/26/81 **JAPAN** X(abs.) BU JP 180212 03/24/86 **JAPAN** X(abs.) BV JP 219681 04/14/87 **JAPAN** X(abs.) BW JP 5194209 A 08/03/93 **JAPAN A61K** 031/22 X(abs.) BX JP 5239020 A 1993 **JAPAN C07D** 205/08 X(abs.) BY JP 4054182 A C12P 1992 **JAPAN** 017/16 X(abs.) ΒZ JP 63017859 A 1988 **JAPAN** C07D 205/08 X(abs.) 205/08 CA JP 4266869 A 1992 **JAPAN** C07D X(abs.) JP 4356195 A CB | 1993 **JAPAN** X(abs.) JP 4356495 12/10/92 **JAPAN** X(abs.) JP 5058993 A 1993 **JAPAN** X(abs.) CD CE JP 61280295 A 1987 **JAPAN** X(abs.) CF JP 95051558 B2 06/05/95 **JAPAN** C07D 205/08 X(abs.) CG | JP 91068020 10/25/91 **JAPAN** CO7D 205/08 X(abs.) CH JP 94047573 06/22/94 **JAPAN** C07D 205/08 X(abs.) CI WO 82/01649 05/27/82 PCT **A61K** 9/52 X(abs.) CI WO 87/04429 07/30/87 PCT **C07D** 205/08 CK WO 88/04656 06/30/88 PCT **C07D** 405/04 CL WO 88/05296 07/28/88 PCT **A61K** 31/365 CM WO 91/03249 03/21/91 PCT A61K 31/785 X(abs.) CN WO 92/13837 08/20/92 PCT 205/08 **C07D** WO 93/02048 PCT 205/08 02/04/93 **C07D** CP WO 93/07167 04/15/93 PCT **C07J** 71/00 CQ WO 93/11150 06/10/93 PCT C07J 71/00 PCT CR WO 94/00480 01/06/94 C07J 71/00 CS WO 94/14433 07/07/94 PCT A61K 31/395 08/04/94 CT WO 94/17038 PCT C07D 205/12 CU WO 94/20535 PCT 09/15/94 C07K 13/00 CV WO 95/04533 02/16/95 PCT 31/445 A61K CW WO 95/06470 03/09/95 PCT **A61K** 31/435 WO 95/08532 03/30/95 PCT CX C07D 205/08

PCT

PCT

PCT

PCT

PCT

PCT

07/6/95

10/05/95

11/02/95

12/28/95

01/04/96

04/04/96

05/30/96

Man Ben 4/1/06

CY

CZ

DA

DB

DC

DD

DE

WO 95/18143

WO 95/26334

WO 95/28919

WO 95/35277

WO 96/00288

WO 96/09827

WO 96/16037

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO .: APPLICATION NO.: PATENT AND TRADEMARK OFFICE CV06038US01 10/792,346 APPLICANT: INFORMATION DISCLOSURE STATEMENT Duane A. Burnett, et al. BY APPLICANT FILING DATE: **GROUP:** (Use several sheets if necessary) 03/03/2004 1614 FOREIGN PATENT DOCUMENTS DF WO96/19450 06/27/96 PCT C07D 205/08 ΔΔΑ DG WO 96/19987 07/04/96 PCT **A61K** 31/35 DH WO 96/40255 12/19/96 A61K PCT 45/06 DI WO 97/16455 05/09/97 PCT C07H 3/04 WO 97/18304 DJ 05/22/97 PCT **C12N** 15/00 DK WO 97/25042 07/17/97 PCT A61K 31/42 DL WO 97/28149 08/07/97 PCT **C07D** 307/79 DM WO 97/31907 09/04/97 PCT **C07D** 263/56 A61K 31/44 DN WO 97/35576 10/02/97 PCT WO 97/46238 DO 12/11/97 **PCT** A61K 31/245 DP WO 98/01100 01/15/98 PCT **A61K** DQ WO 98/05331 02/12/98 PCT A61K 31/45 DR WO 98/14179 04/09/98 PCT A61K 9/50 07/23/98 DS WO 98/31360 PCT 31/19 **A61K** DT WO 98/31361 07/23/98 PCT A61K 31/215 X(abs.) Dυ WO 98/31366 07/23/98 PCT **A61K** 31/445 D۷ WO 98/43081 10/01/98 PCT **G01N** 33/50 DW WO 98/46215 10/22/98 PCT **A61K** 9/20 DX WO 98/47518 10/29/98 PCT **A61K** 31/715 DY WO 98/57652 12/23/98 PCT A61K 31/785 04/02/99 DZ WO 99/04815 PCT 45/00 X(abs.) A61K FΔ WO 99/06035 02/11/99 PCT **A61K** 31/00 EB WO 99/06046 02/11/99 PCT A61K 31/455 EC WO 99/08501 2/25/99 PCT ED WO 99/09967 03/04/99 PCT A61K 31/00 EE WO 99/12534 03/18/99 PCT 31/17 X(abs.) **A61K** EF WO 99/11260 03/11/99 PCT A61K 31/40 EG WO 99/15159 04/01/99 PCT A61K 31/00 EH PCT WO 99/15520 04/01/99 C07D 319/20 X(abs.) FI WO 99/18072 04/15/99 PCT **C07D** 205/08 EJ WO 99/20275 04/29/99 31/47 PCT **A61K** EK WO 99/20614 04/29/99 **PCT C07D** 265/36 EL 06/17/99 WO 99/29300 PCT A61K 9/107 EM WO 99/38498 08/05/99 PCT **A61K** 31/00 EN WO 99/38845 08/05/99 PCT **C07D** 213/65 EO WO 99/38850 08/05/99 PCT C07D 237/32 EP WO 99/46232 PCT 09/16/99 **C07C** 62/34 X(abs.) EQ WO 99/47123 09/23/99 PCT **A61K** 9/16 ER WO 99/48488 09/30/99 PCT **A61K** 31/00

Mm (Best 4/1/06

**A61K** 

31/405

12/29/99

ES

WO 99/66929

FORM	# PTO-	1449			OF COMMERCE MARK OFFICE	ATTY. DOCKE		APPLICA 10/792,3	TION NO. 46	:
	INFORMATION DISCLOSURE STATEMENT			APPLICANT:			·			
	. BY APPLICANT					Duane A. Bu	rnett et al			
	(Use several sheets if necessary)			ary)	FILING DATE: 03/03/2004	_	GROUP: <b>1614</b>			
			F(	DREIGN F	PATENT DOCU	JMENTS				
7	MA	ET	WO 99/66930	12/29/99	PCT		A61K	31/405		
-	17	EU	WO 00/04011	01/27/00	PCT		C07D	319/06		
	+	EV	WO 00/07617	02/17/00	PCT		A61K	38/26		
	1	EW	WO 00/16749	03/30/00	PCT		A61K	9/16	X(abs.)	
	1	EX	WO 97/21676	06/19/97	PCT		C07D	205/09		
	1	EY	WO 97/41098	11/06/97	PCT		C07D	205/09		
		EZ	WO 00/18395	04/06/00	PCT	· · · · · · · · · · · · · · · · · · ·	A61K	31/215		
	<del> </del>	FA	WO 00/20623	04/13/00	PCT		C12P	41/00		
		FB	WO 00/23415	04/27/00	PCT	-	C07C	69/734		$\vdash$
		FC	WO 00/23416	04/27/00	PCT		C07C	69/734		Г
		FD	WO 00/23425	04/27/00	PCT		C07D	209/80		
		FE	WO 00/23445	04/27/00	PCT		C07D	471/12		
		FF	WO 00/23451	04/27/00	PCT	<del></del>	C07D	487/14		
		FG	WO 00/28981	05/25/00	PCT	··-	A61K	31/00		
		FH	WO 00/31548	06/02/00	PCT		G01N	33/68		
		FI	WO 00/32189	06/08/00	PCT		A61K	31/415		
		FJ	WO 00/34240	06/15/00	PCT	· · · · · · · · · · · · · · · · · · ·	C07D	205/08		
		FK	WO 00/37057	06/29/00	PCT		A61K	9/48		
		FL	WO 00/37078	06/29/00	PCT	<del>-</del> ,	A61K	31/44	X(abs.)	Г
		FM	WO 00/38721	07/06/00	PCT		A61K	45/06		
		FN	WO 00/38722	07/06/00	PCT		A61K	45/06		
		FO	WO 00/38723	07/06/00	PCT		A61K	45/06		Г
		FP	WO 00/38724	07/06/00	PCT		A61K	45/06		
		FQ	WO 00/38725	07/06/00	PCT		A61K	45/06		
П		FR	WO 00/38726	07/06/00	PCT		A61K	45/06		
П		FS	WO 00/38727	07/06/00	PCT		A61K	45/06		
П		FT	WO 00/38728	07/06/00	PCT		A61K	45/06		
		FU	WO 00/38729	07/06/00	PCT		A61K	45/06		
Щ		F۷	WO 00/40247	07/13/00	PCT		C07D	265/26		
Ш		FW	WO 00/45817	08/10/00	PCT		A61K	31/505		
$\Box$		FX	-WO 00/50392	08/31/00	PCT		C07C	323/52	X(abs.)	
		FY	WO 00/53149	09/14/00	PCT		A61K			
		FZ	WO 00/53173	09/14/00	PCT		A61K	31/22		
		GA	WO 00/53563	09/14/00	PCT		C07C	59/92	X(abs.)	
		GB	WO 00/56403	09/28/00	PCT		A61P	9/10		
		GC	WO 00/57859	10/05/00	PCT		A61K	9/48		
		GD	WO 00/57918	10/05/00	PCT		A61K	47/44		
		GE	WO 00/60107	10/12/00	PCT		C12P	17/10		
		GF	WO 00/63153	10/26/00	PCT		C07C	69/734		
1 7	MY)	GG	WO 00/63161	10/26/00	PCT		C07C	237/30		

15

FORM			PATENT ATION DISCLOSU	AND TRADE JRE STA	OF COMMERCE EMARK OFFICE TEMENT	ATTY. DOCKE CV06038US0 APPLICANT: Duane A. Bu	1	10/792,3	TION NO.: 46
	BY APPLICANT (Use several sheets if necessary)				ıry)	FILING DATE: 03/03/2004	mett et a	GROUP: 1614	
		<u> </u>		DEICNI	DATENT DOCL			1017	
VV	101	GH	WO 00/63190	10/26/00	PATENT DOCU	JIVIEN IS	C07D	265/38	<del></del>
<u> </u>	<u> </u>	GI	WO 00/63196	10/26/00	PCT		C07D	277/04	-
		GJ	WO 00/63209	10/26/00	PCT		C07D	471/04	
·		GK	WO 00/63703	10/26/00	PCT	·	G01N	33/92	
	$\overline{}$	GL	WO 00/69412	11/23/00	PCT		A61K	9/127	
		GM	WO 00/69445	11/23/00	PCT		A61K	31/785	
-		GN	WO 00/03445 WO 00/72825	12/7/00	PCT		A61K	9/14	
<del>                                     </del>		GO	WO 00/72829	12/07/00	PCT		A61K	9/48	
<del>                                     </del>		GP	WO 00/75103	12/14/00	PCT		C07C	235/60	X(abs.)
<del>  -  </del>		GQ	WO 00/76482	12/21/00	PCT		A61K	9/48	A(abb.)
<del></del>		GR	WO 00/76488	12/21/00	PCT		A61K	31/00	
		GS	WO 00/78312	12/28/00	PCT		A61K	31/421	
		GT	WO 00/78313	12/28/00	PCT		A61K	31/422	<del> </del>
		GU	WO 01/00579	01/04/01	PCT		C07D	213/65	<u> </u>
	<del>}</del>	GV	WO 01/00603	01/04/01	PCT		C07D	277/24	<b> </b>
ļ	1	GW	WO 01/08686	02/08/01	PCT		A61K	31/495	
<b></b>	<del>1</del>	GX	WO 01/08686	02/22/01	PCT		A61K	31/16	
<u> </u>	1 !	GY	WO 01/12170	02/22/01	PCT		A61K	31/404	
-		GZ	WO 01/12/07	02/22/01	PCT		C07D	257/04	
		HA	WO 01/12012	03/01/01	PCT	<del></del>	C07D	277/34	X(abs.)
<u> </u>		HB	WO 01/14349 WO 01/14350	03/01/01	PCT	· <del></del> -	C07D	277/34	X(abs.)
		HC	WO 01/14351	03/01/01	PCT		C07D	277/34	X(abs.)
<u> </u>		HD	WO 01/15744	03/08/01	PCT		A61K	49/00	A(abs.)
<u> </u>	$\dashv$	HE	WO 01/16120	03/08/01	PCT	<del> </del>	C07D	263/32	
		HF	WO 01/17994	03/15/01	PCT		C07D	413/12	
		HG	WO 01/17994 WO 01/18210	03/15/01	PCT	· · · · · · · · · · · · · · · · · · ·	C12N	15/12	<b>  </b>
<b>—</b> —		HH	WO 01/18210	03/15/01	PCT		A61K	31/675	X(abs.)
		HI	WO 01/21181	03/29/01	PCT		A61P	31/0/3	Alaus.)
<del></del>		HJ	WO 01/21239 WO 01/21578	03/29/01	PCT		C07C	235/60	X(abs.)
		HK	WO 01/215/8	03/29/01	PCT		C07K	14/00	A(abs.)
		HL	WO 01/21047 WO 01/22962	03/29/01	PCT		A61K	31/435	<del> </del>
ļ		HM	WO 01/25225	04/05/01	PCT		C07D	317/18	
<b> </b>		HN	WO 01/25225 WO 01/25226	04/12/01	PCT	<del></del>	C07D	327/04	<del>  -</del>
		HO	WO 01/23226 WO 01/30343	05/03/01	PCT		A61K	31/40	<u> </u>
		HP	WO 01/30343	05/03/01	PCT		A61K	31/00	<b>  </b>
<del></del>		HQ	WO 01/32161 WO 01/35970	05/25/01	PCT		A61K	<del></del>	
					<u> </u>			35/76	Y(abc.)
L	<i>/W</i> //	HR	WO 01/40192	06/07/01	PCT		C07D	217/26	X(abs.)

Mm Bed 4/1/06

			OF COMMERCE EMARK OFFICE	OFFICE <b>CV06038US01</b> 10/7		APPLICATI 10/792,34		
INFORMATION DISCLOSE BY APPLICA			TEMENT	APPLICANT: Duane A. Bu	rnett et a	i.		
	(Us	se several sheets	if necessa	ary)	FILING DATE: 03/03/2004		GROUP: 1614	
	-	FC	DREIGN F	PATENT DOCL	JMENTS	_		
MB	HS	WO 01/45676	06/28/01	PCT		A61K	9/24	
77	нт	WO 01/49267	07/12/01	PCT		A61K	9/14	
	HU	WO 01/64221	09/07/01	PCT		A61K	31/52	
	HV	WO 01/76632	10/18/01	PCT		A61K	45/06	
	HW	WO 02/50090	06/27/02	PCT		C07H	15/26	
	НХ	WO 02/058696	08/01/02	PCT		A61K	31/397	
	HY	WO 02/058731	08/01/02	PCT		A61K	45/06	
	HZ	WO 02/058732	08/01/02	PCT		A61K	45/06	
	IA	WO 02/058733	08/01/02	PCT		A61K	45/06	
	IB	WO 02/058734	08/01/02	PCT		A61K	45/06	
	IC	WO 02/058685	08/01/02	PCT .		A61K	31/00	
	ID	WO 02/064130	08/22/02	PCT	<del></del>	A61K	31/195	
	IΕ	WO 02/064549	08/22/02	PCT		C07C	275/34	
	IF	WO 02/064664	08/22/02	PCT		C08G	77/02	
	IG	WO 02/072104	09/19/02	PCT	•	A61K	31/54	
	IН	WO 02/081454	10/17/02	PCT		C07D	239/36	
	11	WO 02/26729	04/04/02	PCT		C07D	311/66	
	IJ	WO 02/064094	08/22/02	PCT		A61K		
	IK	WO 02/50027	06/27/02	PCT				
	IL	WO 02/50060	06/27/02	PCT				
	IM	WO 02/50068	06/27/02	PCT		<u> </u>		
	IN	WO 94/26738	11/24/94	PCT				
	10	EP 1 036 563 A1	09/20/00	EPO				
	IP	EP 0 753 298 A1	01/15/97	EPO				- 1
	IQ	WO 01/34148	05/17/01	PCT				
	IR	WO 01/60807	08/23/01	PCT				
	IS	WO 02/08188	01/31/02	PCT				
	П	WO 03/039542	05/15/03	PCT			<del>                                     </del>	
	ΙU	WO 03/074101	09/12/03	PCT			1 1	
	IV	WO 03/088962	10/30/03	PCT	<del></del>		1 . 1	
	IW	WO 03/018024	03/06/03	PCT	· <del></del>	A61K	31/55	
W	) IX	WO 03/018059	03/06/03	PCT		A61K	45/06	

Man Beal 4/1/06

Sheet	7	of	15

FORM PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO.: CV06038US01	SERIAL NO.:   <b>10/792,346</b>						
INIE		ATION DISCLOSURE STATEMENT	APPLICANT:	10/102,040						
INFO	ואוחע	BY APPLICANT	Duane A. Burnett et a	·   <b>.</b>						
			FILING DATE:	GROUP:						
	(U	se several sheets if necessary)	03/03/2004	1614						
		OTHER DOCUMENTS (Including Author Title								
10000	ΙΥ	OTHER DOCUMENTS (Including Author, Title Exhibit A: SCH 58235 Micronized (ezetimibe), D								
	iz	Exhibit B: SCH 58235 (ezetimibe), Drug Formula								
<del>                                     </del>	JA	Exhibit C: SCH 58235 (ezetimibe), Drug Formula								
	JB	Exhibit D: SCH 58235 (ezetimibe), Drug Formula								
	JC	Exhibit E: SCH 58235 (ezetimibe), Drug Formula								
	JD	Exhibit F: SCH 58235 (ezetimibe), Drug Formula								
	JE	Exhibit G: SCH 58235 (ezetimibe), Drug Formul								
	JF	Exhibit H: SCH 58235 (ezetimibe), Drug Formul								
	JG	Exhibit 1: Master Sheet for the SCH 58235 and								
		Research Institute (Protocol No. C906-411), page								
1 1	JH	Exhibit 2: Medical Research Study #1055/97, SC								
		of Two Prototype Tablet Formulations and the Re								
		Normal Male Volunteers: A Four Way Crossover	Study #C97-221-01, Into	ormed Consent,						
	JI	Peninsular Testing Corporation, page 106-112  Exhibit 3: Consent Form to Participate in a Rese	arch Study "A Phase II	Double Blind Dose						
	"	Response Investigation of Efficacy and Safety of								
1		Placebo in Subjects with Primary Hypercholesters								
		(Protocol No. C98-010), page 1558-1566								
	IJ	Exhibit 4: Medical Research Study #1096/99, SC	CH 58235: Pharmacokir	etic Pharmacodynamic						
		Drug Interaction Study with Digoxin in Healthy Vo	lunteers #C98-114, Infor	med Consent,						
	Peninsular Testing Corporation, page 124-130									
1	JK	Exhibit 5: Informed Consent, "SCH 58235: Asse								
1		Between 58235 and Gemfibrozil in Healthy Volun	teers," Schenng-Plougn	Hesearch Institute,						
····	JL	page 1-8 Vaccaro, W.D. <i>et al</i> , "Sugar-substituted 2-az	otidinana chalactaral s	hearntian inhihitare:						
1	الا	enhanced potency by modification of the sug								
] ]		Oxford, G.B., 8:313-318 (1998)	ar bioorganic a medic	oniai Oriennistry Eus.,						
	<b>ЈМ</b>	Vaccaro, W.D. et. al., "Carboxy-substituted 2	-azetidinones as chole	eterol absorption						
	<b>[</b>	inhibitors", Bioganic & Medicinal Chem. Ltrs.								
	JN	H. Davis et al., "Ezetimibe, a Potent Choleste								
	١٥٠٠	Developmentof Aterosclerosis in Apo E Knoo								
1 1		Biol 21:2032-2038, (Dec. 2001)	, , , , , , , , , , , , , , , , , , ,	or, rimorno. Vasc.						
	JO	<del></del>	amic acid esters to he	nzvlideneaniline"						
		Chemical Abstracts No. 15, 86 (April 11, 199	7)	, , , , , , , , , , , , , , , , , , ,						
	JP	Otto et al., "Stereochemistry of dehydration a		* and aS* isomeric						
1 1	-	3-(α-hydroxybenzyl)-1,4 diphenyl=2 azetiding								
1 1	1	7, 1983)								
	JQ	T. Durst et al, "Metallation of N-Substituted β	-Lactams, A Method of	of the Introduction of						
1		3-substituents into β-Lactams" Canadian Jou								
<del></del>	JR			· · · · · · · · · · · · · · · · · · ·						
	טת	Nobuki, O. et al., "Stereoselective syntheses promoted Reformatskii reaction" Chemical Al								
	JS	M. Hoekman, et al., "Synthesis of Homologue								
My		oxohexanoic Acid γ-Lactones", J. Agric. Food								
	<u> </u>			100						
		Mun Be	ed "1/1/	$\Lambda h$						
		(provided	-, (							
			/	· · · · · · · · · · · · · · · · · · ·						

FOHM P	10-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	CV06038US01	10/792,346
IN	IFORM	IATION DISCLOSURE STATEMENT	APPLICANT:	
•••		BY APPLICANT	Duane A. Burnett, et a	
	(U	se several sheets if necessary)	FILING DATE: 03/03/2004	GROUP: 1614
		OTHER DOCUMENTS (Including Author, Title,	Date, Pertinent Pages	, Etc.)
Mir	7	H. Otto et al. "Darstellung and Stereochemie v		l)-1,4-diphenyl-2-
	JU	azetidononen", <i>Liebigs Ann. Chem.</i> 1152-1161 G. George <i>et al.</i> "3-(1'-Hydroxyethyl)-2-Azetidi		butyrates and N-
		Arylaldimines" Tetrahedron Letters, 26:3903-3	906 (1985)	
	JV	Hart et al. "An Enantioselective Approach to C of (+)-Thienamycin", 26 Tetrahedron Letters, 4		s: Formal Synthesis
	JW	Panfil, I. et al. "Synthesis of β-Lactams from α		δ-Lactones" 24
		Heterocycles 6:1609-1617 (1986)		
	JX	D. Roger Illingworth, "An Overview of Lipid-Lo	<del>,</del>	
	٦X	Joseph L. Witzturn, M.D., "Current Approaches Hyercholesterolemic Patient" Circulation 80:11		he
	JZ	B. Ram et al. "Potential Hypolipidemic agents: (1990)	Part V", 29B Indian J.	Chem. 1134-37
	KA	Schnitzer-Polokoff, R. et al., "Effects of Acyl-C		
		Inhibition on Cholesterol Absorption and Plasn Comp. Biochem. Physiol. <b>99A</b> :665-670 (1991)		sition in Hamsters"
	КВ	Horie, M. et al, "Hypolipidemic effects of NB-59		rosis <b>88</b> :183-192
		(1991)		
	KC	Baxter, A., "Squalestatin 1, a Potent Inhibitor of Serum Cholesterol in Vivo", The Journal of Bio		
İ		(1992)	nogical Chemistry 201	.11705-11708
1	KD	Summary Factfile, "Anti-Antherosclerotic Agen	nts" Current Drugs Ltd.	(1992)
	KE	Harwood H. James, "Pharmacologic conseque		
,		alteration in cholesterol metabolism and reduc		
	1	induced by the synthetic saponin β-tigogenin of <i>Journal of Lipid Research</i> <b>34</b> :377-395 (1993)	cellobioside (CP-88816	s; uqueside) i
	KF	Salisbury, B. et al., "Hypocholesterolemic activ		of cholesterol
		absorption, SCH 48461" Atherosclerosis 115:4		-141
	KG	Clader, J. W. et al., "Substituted (1,2-Diaryleth Acyltransferase Inhibitors: Effect of Polar Grounds		
	1	Medicinal Chemistry 38:1600-1607 (1995)		Additity boarnar or
	КН	Sybertz, E., "Sch 48461, a novel inhibitor of ch 311-315 (1995)	nolesterol absorption" /	Atherosclerosis pp.
	KI	Vaccaro, W, et al, "2-Azetidinone Cholesterol	Absorption Inhibitors; I	ncreased Potency
	\	by Substitution of the C-4 Phenyl Ring", Bioorg		
·	KJ	G. Wu et al, "A Novel One-Step Diastereo-and		
,	11	azetidinones and its application to the total syn A.C.S. (4/21/99).	ntnesis of cholesterol a	absorption innibitors
	KK	B. Staels, "New Roles for PPARS in Cholester	rol Homeostasis", Tren	nds in
		Pharmacological Sciences, 22:9 p. 444 (Sept.	2001)	
1.	KL	Abbott et al, "Tricor® Capsules, Micronized", I 2001.	•	ence, January 8,
IN	KM	M. Feher et al., 1991, Lipids and Lipid Disorde	ers, p.1-87 (1991).	
· · · /		Man (Sel	4/1/06	
		•	′ /	

FORM PT	O-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: CV06038US01	APPLICATION NO.: 10/792,346			
iNi	FORM	IATION DISCLOSURE STATEMENT	APPLICANT:				
••		BY APPLICANT	Duane A. Burnett et al				
	(U	se several sheets if necessary)	FILING DATE: 03/03/2004	GROUP: 1614			
	(	OTHER DOCUMENTS (Including Author, Title,	Date, Pertinent Pages	, Etc.)			
Mm	KN	M. Ricote et al., "New Roles for PPARs in Cho		Trends in			
1/10, 1		Pharmacological Science, Vol. 22, No. 9 44-44					
1	KO	C. Dujovne et al, "Reduction of LDL Cholesteral Hypercholesterolemia by SCH 48461: Results					
-		Clin,. Pharm. 41:1 70-78 (Jan. 2001)	of a mathematical 2030-	rianging Olddy , b.			
	KP	W. Oppolzer et al., "Asymmetric Diels - Alder	Reactions, Facile Prep	aration and			
		Structure of Sulfonamido – Isobornyl Acrylates	s", Tetrahedron Letters	No. 51, <b>25</b> :5885-			
	1,0	5888 (1984).					
	KQ	M. Davidson et al., "Colesevelam Hydischlorid					
	KR	lowing agent", Expert Opinion Investigating Dr. M. Davidson et al., "Colesevelam hydrochlorid					
. \	'```	sequestrant associated with a low incidence of					
		Intern. Med. 16 1893-900 (Sept. 1999)					
	KS	I. Wester, "Cholesterol – Lowering effect of pla (2000).	nnt sterols", <i>Euro. J.Lip</i>	id, Sci. Tech. 37-44			
	КТ	A. Andersson et al., "Cholesterol -lowering eff	ects of a stanol ester-c	containing low fat			
	margarine used in conjunction with a strict lipid-lowing diet", 1 European Heart. J.						
	Supplements S80 - S90 (1999)						
ŀ	KU H. Gylling et al, "Reduction of Serum Cholesterol in Postmenopausal Women with Previous Myocardial Infarction and Cholesterol Malabsorption induced by Dietary						
		Sitostarol Ester Margarine, <i>96 Circulation12</i> 42					
	ΚV	T. Miettinen <i>et al</i> , "Reduction of Serum Choles					
		Mildly Hypercholesterolemic Population", New					
		(Nov. 16, 1995)	· · · · · · · · · · · · · · · · · · ·				
	KW	T. Bocan et al., "The ACAT Inhibitor Avasimibe					
		Metalloproteinase Expression in Atherosclerot Rabbits", Arterioscler Thromb Vasc. Biol. 70-7		plesterolemic			
	кх	M. Van Heek <i>et al.</i> , "In Vivo Metabolism – Bas		ent Cholesterol			
		Absorption Inhibitor, SCH 58235, in the Rat an	nd Rhesus Monkey thro	ough the			
		indentification of the active metabolites of SCF					
	1000	Therapeutics 1 157-163 (1997)					
	KY	H. Davis et al., "The Cholesterol Absorption In					
		Development of Atherosclerosis in apo E knoc diets," 151 Atherosclerosis 1:133 (July 2000)	Rout (-7-) mice tea low	iai anu westem			
	KZ	L. Nguyen et al., "Unexpected Failure of Bile A	cid Malabsorption to S	Stimulate			
		Cholesterol Synthesis in Sitosterolemia with X					
		297 (1990)					
	LA	L. Nguyen et al., "Regulation of Cholesterol Bi					
		lovastatin, Cholestyramine, and dietary sterol (1991)	restriction," 32 J.Lipid	Res. 1941-1948			
	LB	M. Cobb et al., "Sitosterolemia: Opposing Effe					
11/1	7	Plasma Sterol Levels in a Homozygous Girl ar	nd Her Heterozygous F	ather," 45			
10/		Metabolism 6 673-679 (June 1996)					
		(VM and R	011/1 /1/10	$\mathcal{H}$			
	Man Bed 4/1/06						

			Sheet <u>10</u>	_of <u>15</u>
FORM PTC	-1449		ATTY. DOCKET NO.:	APPLICATION NO.:
		PATENT AND TRADEMARK OFFICE	CV06038US01 APPLICANT:	10/792,346
INF	OHN	MATION DISCLOSURE STATEMENT BY APPLICANT	Duane A. Burnett et a	ıl.
	(L	lse several sheets if necessary)	FILING DATE: 03/03/2004	GROUP: 1614
		OTHER DOCUMENTS (Including Author, Title,	Date, Pertinent Page	s, Etc.)
		M. Huettinger et al., "Hypolipidemic Activity of	HOE-402 is mediated	by Stimulation of
VM		the LDL Receptor Pathway", 13 Arterioscleros 1993).	is and Thrombosis 7 °	1005-1012 (July
	LD	J. Best et al., "Diabetic Dyslipidaemia", 59 Dru		
	LE	P. Chong, et al, "Current, New and Future Tre Atherosclerosis", 60 Drugs 1 55-93 (July 2000		nia and
	LF	M. Brown et al, "A Receptor – Mediated Pathw Science 34-47 (April 4, 1986)	vay for Cholesterol Ho	meostasis", 232
	LG	L. Lipka et al., "Reduction of LDL-Cholesterol	and Elevation of HDL-	Cholesterol in
		Subjects with Primary Hypercholesterolemia b		
		Phase II Studies", JACC 257A (Feb. 2000)		<u></u>
	LH	Medical Economics, Co., Inc., <i>Physician's Des</i> 2001)		
	LI	K. Fassbender et al., "Simvastatin Strongly Re		
Amyloid Peptides Aβ 42 and Aβ40 in vitro and in vivo", PNAs Early Edition,				Edition,
	<del>↓</del>	www.phas.org/cgi/doi/10,1073/phas.08162009		
	W	Andrx Announces Results of Alzheimer's Dise Release (April 11, 2001)		•
	LK,	Andrx (ADRX): Pos Phase II Results Using Av	ricor in Alzheimer's: S	tr Buy; \$130", <i>US</i>
	<del> </del>	Bancorp Piper, April 12, 2001	<del></del>	
1 1	LL	Statins May Protect Against Alzheimer's Disea	ase; much research no	eeded", Genatrics
	LM	February 2001  Dementia and Statins", <i>The Lancet</i> March 17,	2001	
	LN	Research & Development: Andrx Says Chole		t Alzheimere"
		Reuters April 11, 2001	Steroi Brug May Trea	tt Alzheimers ,
	LO	Cholesterol Drugs Ease Alzheimer's Damage;		April 10, 2001
	LP	Lovastation XL of Use in Alzheimer's? News E		· · · · · · · · · · · · · · · · · · ·
1 1	LQ	L. Refolo et al, "Hypercholesterolemia Acceler		
<b></b>	<del>  -</del>	in a Transgenic Morse Model, Neurobiology o		
	LR	D. Kang et al., "Modulation of Amyloid β-prote Susceptibility by the LDL Receptor – Related		
1 1	1	Investigation 106:9, 1159-1166 (Nov. 2000)	Protein Patriway , Jou	imai di Ciinicai
<del>     </del>	LS	Y.A. Kesaniewmi, "Intestinal Cholesterol Abso	rotion Efficiency in Ma	an is Related to
		Apóprotein E Phenotype", <i>J. Clin. Invest.</i> <b>80</b> (2		arrio ricialou lo
	LT	J. Busciglio et al., "Generation of β-amyloid in		y in neuronal and
11 .	1	nonneuronal cells", 90 Proc. Nat'l. Acad. Sci,		
		1993)		
	LU	L. Farrer et al., "Assessment of Genetic Risk f		e Among first
<del>   </del>	<del> </del>	Degree Relatives", Annals of Neurology 25:5,		<del></del>
	LV	A. Goate et al., "Segregation of a Missense M		
I MM		Gene with Familial Alzheimer's Disease", 349	nature No. 6311, 704	-706 (Feb. 21,

1991)

٦	HIVIFIC	-1443	PATENT AND TRADEMARK OFFICE	CV06038US01	10/792,346	
	INE		MATION DISCLOSURE STATEMENT	APPLICANT:	10/132,040	
	1141		BY APPLICANT	Duane A. Burnett et a	1.	
		a	se several sheets if necessary)	FILING DATE:	GROUP:	
_				03/03/2004	1614	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
LW D. Mann et al., "The Pattern of Acquisition of Plaques and Tangle in the						
l /	11m		Patients Under 50 years of Age with Down's Syndrome", 89 J. Neuro. Sci., 169-179			
$\bigvee$	¥ //	ļ.,.	(Feb. 1989)			
		LX				
Щ		1	939-944 (July 1984)	·		
		LY	D. Selokoe, "Alzheimer's Disease: Genotypes	, Pheontype and Trea	tments", <i>275</i>	
Ш			Science, 630-631 (Jan. 31, 1997)			
		LZ	C. Van Duijn, et al., "Familial Aggregation of A			
			Disorders: A collaborative Re-Analysis of Cas		0 Int'i J.	
<u> </u>			Epidemiology No. 2 (Suppl. 2), 513-520 (1991			
l		MA				
			Coagulation Factor Xa Inhibitors", J. Med. Che			
		MB	Mellott et al., "Acceleration of Recombinant Ti			
	·		Reperfusion and Prevention of Reocculsion by			
	<b>j</b>		factor Xa Inhibitor, in a Canine Model of Femo	oral Arterial Thrombos	sis", Circulation	
	Research, 70:1152-1160 (1992)					
MC Sitko et al., "Conjunctive Enhancement of Enzymatic Thromb						
			Thrombotic Reocclusion With the Selective Fa	actor Xa Inhibitor, Tick	Anticoagulant	
Peptide", Circulation, <b>85</b> :808-815 (1992)						
		MD	Seymour et al., 1994, Biochemistry, 33:3949-			
	<b></b>	ME	Markwardt, 1994, Thrombosis and Hemostasi			
	1	MF	Mendall et al., "C-Reactive Protein and its rel			
		1	population based cross sectional study", BMJ			
	1.	MG	Ridker P. et al., "Prospective Studies of C-Red		c factor for	
<u> </u>		ļ	cardiovascular disease", 46 J. Investig. Med.;			
	1	МН	Waters, D. et al., "A Controlled Clinical Trial to			
			Blocker on the Progression of Coronary Ather	osclerosis", <i>Circulatio</i>	n; <b>82</b> :1940-1953	
<u> </u>		- <del> </del> -	(1990)			
<u> </u>		MI	Fleckenstein, 1985, Cir. Res. Vol 52 (Suppl. 1			
	1	MJ	Fleckenstein, 1983, "Experimental Facts and	Inerapeutic Prospects	s", John Wiley, New	
<u> </u>	+	1 414	York, pp. 286-313			
		MK		0, 1-11		
	_	ML	Remington 1995, "The Science and Practice of	of Pharmacy, (19" Ed.	<i>1995)</i> p. 963	
	1	MM	M. Chistie et al., "Early - Onset Amyloid Depo			
	1		Mice Expressing a Double Mutant Form of An	nyloid Precursor Prote	in 695", <i>276 J. Biol.</i>	
			Chem. No. 24; 21562-70 (June 15, 2001)			
1	1	MN	C. Janus et al., <sup>ω</sup> Aβ Peptide Immunization Re			
<u> </u>		1	in a Model of Alzheimer's Disease", 408 Natu			
1	1	MO	Manual of Laboratory Operations, "Lipid Rese			
<u> </u>		1	D.C., U.S. Dept. of Health, Education and We			
l	λ	MP	Steiner, PM et al., "Standardization of Microm	ethods for Plasma Ch	olesterol,	
	/W)	1.	Triglyceride and HDL-Cholesterol with the Lip	id Clinic's Methodolog	y [abstract], J. Clin.	
/////   Chem. Clin. Bichem; 19:850 (1981)						

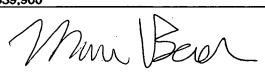
y Lipoprotein 976) panded Body ith Evaluated by 6:8; 1763-					
y Lipoprotein 976) panded Body ith Evaluated by 6:8; 1763-					
y Lipoprotein 976) panded Body ith Evaluated by 6:8; 1763-					
eanded Body ith Evaluated by 6:8; 1763-					
eanded Body ith Evaluated by 6:8; 1763-					
eanded Body ith Evaluated by 6:8; 1763-					
eanded Body ith Evaluated by 6:8; 1763-					
Evaluated by 6:8; 1763-					
Evaluated by 6:8; 1763-					
<b>6:8</b> ; 1763-					
<b>6:8</b> ; 1763-					
the					
3972 (1999)					
Produces B-					
al Fluid and					
hem.;					
10111.,					
nary					
Therapeutics,					
d in lipid-					
une 2001)					
odynamics of 01)					
raceptives,					
таосратсо,					
Cholesterol					
Congress, p.					
Favorable					
s/Novel, 72 <sup>nd</sup>					
reen					
g Lipid					
, Lipia					
:67 (March 6-					
Vitamins",					
23, 2001)					
Posters 11. Lipid Lowering Drug/Novel 72 <sup>nd</sup> EAS Congress, p. 90 (May 21,23, 2001)  May 21,23, 2001)					

FORM PTO	-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: CV06038US01	APPLICATION NO.: 10/792,346	
INF	ORM	NATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT: Duane A. Burnett et al		
	(υ	se several sheets if necessary)	FILING DATE: 03/03/2004	GROUP: 1614	
		OTHER DOCUMENTS (Including Author, Title,	Date, Pertinent Pages	, Etc.)	
		Kosoglou et al., "Pharmacodynamic Interaction	n Between Fenofibrate	and the Cholesterol	
Wh		Absorption Inhibitor Ezetimibe", Workshops Lipp. 38 (March 6-10, 2001)			
1	NH				
		A Novel Inhibitor of Intestinal Cholesterol Abso			
		Subjects: Results of a Dose-Response Study		nposium on	
<del></del>	<u> </u>	Atherosclerosis, Stockholm, Sweden (June 25		1777 7 5	
	NI	Castaner et al, "Ezetimibe – Hypolipidemic Ch the Future, <b>25(7)</b> :679-685 (2000)	·		
	NJ	Lipka et al., "Reduction of LDL-Cholesterol and			
		with Primary Hypercholesterolemia by Ezetimi			
		Phase II Studies", American College of Cardio	logy Annual Meeting, I	Anaheim, CA	
	NUZ	(March 12-15, 2000)	al aliana eltina el ter	l.abala	
	NK	Van Heek et al., "Comparison of the activity ar absorption inhibitor, SCH58235, and its glucu			
		Pharmacology, <b>129</b> :1748-1754 (2000)	ioniue, schoods , b	nush Journal of	
NL Van Heek <i>et al.</i> , 2000, "The potent cholesterol absorption inhibitor, ezetimibe, is					
glucuronidated in the intestine, localizes to the intestine, and circulates					
		enterohepatically", XII International Symposium	•		
	(June 25-29, 2000)				
	NM	lannucci et al., "Metabolism of SCH 58235 in t	he Human, Rat and Do	og", 47 <sup>th</sup> ASMS	
		Conference on Mass Spectrometry and Allied	Topics, Dallas, TX (Ju	ne 13-17, 1999)	
	NN	Reiss et al., "An Enzymatic Synthesis of Glucu			
		Absorption Inhibitors", Bioorganic & Medicinal			
	NO	Rosenblum et al., "Discovery of 1-(4-Fluropher			
	1	hydroxypropyl]-(4S)-(4-hydroxyphenyl)-2-azeti			
	NP	Orally Active Inhibitor of Cholesterol Absorptio			
	ואר	Vaccaro et al., "Sugar-Substituted 2-Azetidinor Enhanced Potency by Modification of the Sugar			
		Letters, 8:313-318 (1998)	a , Dioorganic & Meur	ana Onemistry	
<del>                                     </del>	NQ	Zaks et al., "Enzymatic Glucuronidation of a N	ovel Cholesterol Absor	ption Inhibitor, SCH	
		58235", Applied Biochemistry and Biotechnology			
	NR	W. Insull et al., "Postmenopausal Hypercholes			
		from Raloxifene and Simvastatin on Lipid Para			
		International Symposium on Global Risk of Co			
	<u> </u>	Abstract Book, p. 35 (June 12-15, 2002)			
	NS	L. Simons et al., 2002, "Ezetimibe added to on			
		primary hypercholesterolemia: Efficacy and sa			
<del>                                     </del>	NT	mellitus", presented at the 38th Annual Meeting			
	ו און	C. Allain et al, 1974, "Enzymatic Determination of T 20:470-475	otal Serum Cholesterol",	, Gimicai Gnemicai,	
Man	NU	R. Mayrhofer et al., 1980, "Simple-Preparation of 3	-Benzylidene-2-azetilidin	ones", <i>Synthesis</i> ,	
_ <del></del>	<u> </u>	247-248			
		Mark Bed	•		
		, and beat		,	
		V		1	

FORM P	PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: CV06038US01	APPLICATION NO.: <b>10/792,346</b>		
11						
	/1.1	BY APPLICANT	Duane A. Burnett et al. FILING DATE:	GROUP:		
	(U.	se several sheets if necessary)	03/03/2004	1614		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)  NV Burrier, R.E. et al., 1994, "Demonstration of a Direct Effect on Hepatic Acyl CoA:Cholestero Transferase (ACAT) Activity By An Orally Administered Enzyme Inhibitor in the Hamster",						
Ma		Transferase (ACAT) Activity By An Orally Administer Biochemical Pharmacology 47:15451551	ered Enzyme inhibitor in	the Hamster",		
V [ ]	NW	Burrier, R.E. et al., 1994, "The Effect of Acyl CoACh Uptake, Esterification and Secretion of Cholesterol	by the Hamster Small Int			
	NX	of Pharmacology and Experimental Therapeutics 27 E.F. Binder et al., "Effects of Hormone Replacement		de in Eldarly Momen		
	'	A Randomized, Placebo-Controlled Trial", 134 Ann.				
	NY	MR Haymart et al., "Optimal Management of Dyslip Specif. Med. 6:37-42 (Nov. – Dec. 1997)				
	NZ	"Framingham Heart Study Analysis Reveals Some Overlooked", Heartwire (April 12, 2001)	Primary Prevention Subs	groups Are Being		
	OA	"Detection Evaluation, and Treatment of High Blood III), "Third Report of the National Cholesterol Educa 01-3670 (May 2001)				
	ОВ	Van Heek et al., "Ezetimibe, A Potent Cholesterol A Dyslipidemia in Obese Hyperinsulinemic Hamsters'	Absorption Inhibitor, Norm	nalizes Combined		
	ос	"Additional Statins Show Anti-Inflammatory Effect",				
	OD	H. Hauser, et al, "Identification of a Receptor Media Intestine", <i>Biochemistry</i> <b>37</b> :17843-17850, 1998				
	OE	G. Salen, et al., "Sitosterolemia", Journal of Lipid R		92		
.	OF	Stedman's Medical Dictionary, 27th Edition, pg. 138				
	OG	Stuart B. Rosenblum et al., Discovery of 1-(4-Fluore hydroxypropyl]-(4S)-(4-hydroxyphenyl)-2-azetidinor Active Inhibitor of Cholesterol Absorption, <i>J. Med.</i> (	ne (SCH 58235): A Desig Chem. 41:973-980 (1998	ned, Potent, Orally		
	ОН	Remington's Pharmaceutical Sciences, 18th ed. 199	90 p. 1319, 1633-1647			
	OI	Baker S G et al., Treatment of homozygous familial African Medical Journal (1982)	I hypercholesterolaemia v	with probucol, South		
	Q	R. Milanese et al., Xantomi E Ipercolesterolemia: P 455-61 (1990)	·	•		
	ОК	"Study showed ezetimibe significantly reduced lever patients" Schering Press Release 1-3 (2001)	els of LDL cholesterol or	bad" cholesterol in		
	OL	Kosoglou et al., "Pharmacodynamic interaction beto absorption inhibitor ezetimibe" Atheroscelerosis (2)		cholesterol		
	ОМ	Davis et al., "The Synergistic Hypocholesterolemic Inhibitor, Ezetimibe, in Combination with 3-Hydroxy Inhibitors in Dogs" <i>Metabolism</i> <b>50(10)</b> :1234-1241(2)	Activity of the Potent Cher-3-Methylglutaryl Coenzy (001)	yme A Reductase		
	ON	Thompson, G.R. et al., "Novel lipid-regulating drugs 9(11):2619-2628 (2000), XP008011782		estigational Drugs		
	00	Kosoglou, T. et al., "Coadministration of ezetimibe Apo CII and LDL subfractions" Atherosclerosis 2:89	and fenofibrate leads to f	avorable effects on		
	OP	Gilber R. Thompson et al., Novel lipid-regulating dr 3784, 2000, pp. 2619-2628				
	OQ	Belamarich P.F. et al., Response to diet and choles Pediatrics, ISSN 0031-4005, Dec. 1990	styramine in a patient wit	h sitosterolemia,		
1/1	OR	Salen G. et al., Lethal atherosclerosis associated w composition in sitosterolemia with xanthomatosis, Sept. 1985				
<b>₩</b> ,/		Man Bea	1 4/1/	06		

<b>-</b>		_	
Sheet	15	of	. 15

FORM PT	O-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: CV06038US01	APPLICATION NO.: 10/792.346		
IN	FORM	MATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT: Duane A. Burnett et al.			
	(L	lse several sheets if necessary)	FILING DATE: GROUP: 03/03/2004 1614			
· •		OTHER DOCUMENTS (Including Author, Tit)	e, Date, Pertinent Page	es, Etc.)		
1000	os	Sorbera et al., Netoglitazone, Drugs of the Futur	e, 2002, 27(2): 132-139			
	ОТ	Michel Farnier, Nouvelles approaches médicame MT Endocrinologie, 2002, 4:252-259	enteuses dans le traiteme	ent des dyslipidémies,		
.	Ου	Berger et al., Physiological and Therapeutic Role Receptors, Diabetes Technology & Therapeutics		ator-Activated		
	OV	U.S. Serial No. 10/791,910				
$\neg \tau$	ow	U.S. Serial No. 10/791,979				
	ОХ	U.S. Serial No. 10/700,909				
n	OY	U.S. Serial No. 10/701,244				
- ( <b>/</b> (///	loz	U.S. Serial No. 10/639,900				



# **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

Substituted azetidinone compounds, processes for preparing the same, formulations and uses thereof

**Application Number:** 

10/792346

**Confirmation Number:** 

4535

First Named Applicant:

**Duane Burnett** 

Attorney Docket Number: CV06038US01

Search string:

(5494683 or 5503846 or 5510118 or 5510466 or 5518187 or 5518738 or 5545628 or 5550229

or 5552160 or 5561227 or 5563264 ).pn.

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
VM	<u>)</u> 1	5494683	1996-02-27	Liversidge			
	2	5503846	1996-04-02	Wehling		•	
	3	5510118	1996-04-23	Bosch			
	4	5510466	1996-04-23	Krieger			
	5	5518187	1996-05-21	Bruno			
	6	5518738	1996-05-21	Eickhoff			•
	7	5545628	1996-08-13	Deboeck			
	8	5550229	1996-08-27	lwasaki		•	
	9	5552160	1996-09-03	Liversidge			
	10	5561227	1996-10-01	Thiruvengadam		•	
מיוע	11	5563264	1996-10-08	Kume			

# Signature

Examiner Name	D <sub>ate</sub> ,
Mm Beel	4/1/06



## **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

arctronic Version v18 Stylesheet Version v18.0

> Title of Invention

Substituted azetidinone compounds, processes for preparing the same, formulations and uses thereof

**Application Number:** 

10/792346

Confirmation Number:

4535

First Named Applicant: Attorney Docket Number: CV06038US01

**Duane Burnett** 

Search string:

( 6030990 or 6166049 or 5021461 or 4687777

or 3716583 or 3781328 or 3948973 or 4072705 or 4075000 or 4144232 or 4178695 or 4179515

or 4235896 or 4250191 or 4260743 or 4304718

or 4375475 or 4443372 or 4444784 or 4472309

or 4479900 or 4500456 or 4534786 or 4564609

or 4576748 or 4576749 or 4576753 or 4581170

or 4595532 or 4602003 or 4602005 or 4614614

or 4616047 or 4620867 or 4633017 or 4642903

or 4675399 or 4680391 or 4739101 or 4778883

or 4784734 or 4794108 or 4800079 or 4803266

or 4834846 or 4814354 or 4871752 or 4876365

or 4879301 or 4895726 or 20030153541 or

20020132855 or 20020137689 or 20020128253

or 20020039774 or 20020128252 or

20030013729 or 20030105028 or 20030053981

or 20020183305 or 20020169134 or

20030013699 or 20020151536 or 20020192203

or 20030069221 or 20030119757 or

20020147184 or 20030119796 or 20030119809

or 20030119808 or 20030119428 ).pn.

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
M	1	6030990	2000-02-29	Maeda	} .		
	2	6166049	2000-12-26	Smith	]		
	3	5021461	1991-06-04	Robinson	]		
M	4	4687777	1987-08-18	Meguro	]		
PP II	D=1079234	6	nn B	lad	]		Page 1 o

APP ID=10792346

nad	5	3716583	1973-02-13	Nakamura
	6	3781328	1973-12-25	Witte
	7	3948973	1976-04-06	Phillips
	8	4072705	1978-02-07	Mieville
	9	4075000	1978-02-21	Abdulla
TIF	10	4144232	1979-03-13	Koppel
Ti	11	4178695	1979-12-18	Erbeia
	12	4179515	1979-12-18	Mieville
Ti	13	4235896	1980-11-25	Mieville
	14	4250191	1981-02-10	Edwards
71	15	4260743	1981-04-07	Bose
Ti	16	4304718	1981-12-08	Kamiya
	17	4375475	1983-03-01	Willard
	18	4443372	1984-04-17	Luo
	19	4444784	1984-04-24	Hoffman
	20	4472309	1984-09-18	Kamiya
	21	4479900	1984-10-30	Luo
	22	4500456	1985-02-19	Spitzer
$\prod$	23	4534786	1985-08-13	Luo
	24	4564609	1986-01-14	Tamura
	25	4576748	1986-03-18	Greenlee
	26	4576749	1986-03-18	Zahler
	27	4576753	1986-03-18	Kamiya
	28	4581170	1986-04-08	Mueller
	29	4595532	1986-06-17	Miller
	30	4602003	1986-07-22	Malinow
	31	4602005	1986-07-22	Malinow
	32	4614614	1986-09-30	Ernest
	33	4616047	1986-10-07	Lafon
	34	4620867	1986-11-04	Luo
	35	4633017	1986-12-30	Mueller
	36	4642903	1987-02-17	Davies
	37	4675399	1987-06-23	Miller
	38	4680391	1987-07-14	Firestone
	39	4739101	1988-04-19	Bourgogne
W	40	4778883	1988-10-18	Yoshioka
	=1079234	Min	Ber	41,100

m	, 41	4784734	1988-11-15	Torii
	42	4794108	1988-12-27	Kishimoto
	43	4800079	1989-01-24	Boyer
	44	4803266	1989-02-07	Kawashima
	45	4834846	1989-05-30	Abramson
	46	4814354	1989-03-21	Ghebre-Sellassie
	47	4871752	1989-10-03	Zermatter
	48	4876365	1989-10-24	Kirkup
	49	4879301	1989-11-07	Umio
Me	50	4895726	1990-01-23	Curtet

# **US Published Applications**

Note: Applicant is not required to submit a paper copy of cited US Published Applications

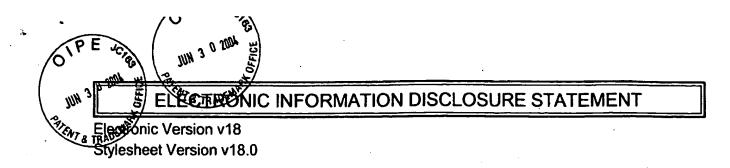
	Pub. No.	Date	Applicant	Kind	Class	Subclass
.1	20030153541	2003-08-14	Dudley			
2	20020132855	2002-09-19	Nelson			
3	20020137689	2002-09-26	Glombik			
4	20020128253	2002-09-12	Glombik ,			
5	20020039774	2002-04-04	Kramer			
6 ·	20020128252	2002-09-02	Glombik			
7.	20030013729	2003-01-16	lqbal			٠
8	20030105028	2003-06-05	Schering Corporation			
9	20030053981	2003-03-20	Schering Corporation			
10	20020183305	2002-12-05	Schering Corporation			
11	20020169134	2002-11-14	Schering Corporation			
12	20030013699	2003-01-16	Schering Corporation			
13	20020151536	2002-10-17	Schering Corporation			
14	20020192203	2002-12-19	Schering Corporation			
15	20030069221	2003-04-10	Schering Corporation	]		
16	20030119757	2003-06-26	Schering Corporation	· ·		
17	20020147184	2002-10-10	Schering Corporation			
18	20030119796	2003-06-26	Schering Corporation	]		
19	20030119809	2003-06-26	Schering Corporation	]		
20	20030119808	2003-06-26	Schering Corporation	]		
21	20030119428	2003-06-26	Schering Corporation			
	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	2     20020132855       3     20020137689       4     20020128253       5     20020039774       6     20020128252       7     20030013729       8     20030105028       9     20030053981       10     20020183305       11     20020169134       12     20030013699       13     20020151536       14     20020192203       15     20030069221       16     20030119757       17     20020147184       18     20030119809       20     20030119808	2       20020132855       2002-09-19         3       20020137689       2002-09-26         4       20020128253       2002-09-12         5       20020039774       2002-04-04         6       20020128252       2002-09-02         7       20030013729       2003-01-16         8       20030053981       2003-03-05         9       20030053981       2002-12-05         11       20020169134       2002-11-14         12       20030013699       2003-01-16         13       20020151536       2002-10-17         14       20020192203       2002-12-19         15       20030069221       2003-04-10         16       20030119757       2003-06-26         17       20020147184       2002-10-10         18       20030119809       2003-06-26         20       20030119808       2003-06-26	2         20020132855         2002-09-19         Nelson           3         20020137689         2002-09-26         Glombik           4         20020128253         2002-09-12         Glombik           5         20020039774         2002-04-04         Kramer           6         20020128252         2002-09-02         Glombik           7         20030013729         2003-01-16         Iqbal           8         20030105028         2003-06-05         Schering Corporation           9         20030053981         2003-03-20         Schering Corporation           10         20020183305         2002-12-05         Schering Corporation           11         20020169134         2002-11-14         Schering Corporation           12         20030013699         2003-01-16         Schering Corporation           13         20020151536         2002-10-17         Schering Corporation           14         20020192203         2002-12-19         Schering Corporation           15         20030069221         2003-04-10         Schering Corporation           16         20030119757         2003-06-26         Schering Corporation           17         20020147184         2002-10-10         Schering Corporation	2         20020132855         2002-09-19         Nelson           3         20020137689         2002-09-26         Glombik           4         20020128253         2002-09-12         Glombik           5         20020039774         2002-04-04         Kramer           6         20020128252         2002-09-02         Glombik           7         20030013729         2003-01-16         Iqbal           8         2003015028         2003-06-05         Schering Corporation           9         20030053981         2003-03-20         Schering Corporation           10         20020183305         2002-12-05         Schering Corporation           11         20020169134         2002-11-14         Schering Corporation           12         20030013699         2003-01-16         Schering Corporation           13         20020151536         2002-10-17         Schering Corporation           14         20020192203         2002-12-19         Schering Corporation           15         20030069221         2003-04-10         Schering Corporation           16         20030119757         2003-06-26         Schering Corporation           18         20030119809         2003-06-26         Schering Corporation	2         20020132855         2002-09-19         Nelson           3         20020137689         2002-09-26         Glombik           4         20020128253         2002-09-12         Glombik           5         20020039774         2002-04-04         Kramer           6         20020128252         2002-09-02         Glombik           7         20030013729         2003-01-16         Iqbal           8         20030105028         2003-06-05         Schering Corporation           9         20030053981         2003-03-20         Schering Corporation           10         20020183305         2002-12-05         Schering Corporation           11         20020169134         2002-11-14         Schering Corporation           12         20030013699         2003-01-16         Schering Corporation           13         20020151536         2002-10-17         Schering Corporation           14         20020192203         2002-12-19         Schering Corporation           15         20030069221         2003-04-26         Schering Corporation           16         20030119757         2003-06-26         Schering Corporation           18         20030119809         2003-06-26         Schering Corporation

APP\_ID=10792346

Mn Berl 4/1/06

Page 3 of 4

Signature	
Examiner Name	, Date
Man Bear	1/1/06



Title of Invention Substituted azetidinone compounds, processes for preparing the same, formulations and uses thereof

**Application Number:** 

10/792346

**Confirmation Number:** 

4535

First Named Applicant: Attorney Docket Number: CV06038US01

**Duane Burnett** 

Search string:

(4925672 or 4939248 or 4166907 or 6262042

or 6262047 or 6262098 or 6242605 or 6277584

or 6316029 or RE37721 or H0001286 or

2809194 or 3108097 or 3152173 or 3267104 or 3399192 or 3692895 or 4148923 or 4239763 or 4567195 or 4626549 or 4654362 or 4680289 or 4937267 or 5093365 or 5106833 or 5110730 or 5120713 or 5278176 or 5358852 or 5385885 or 5703188 or 5994554 or 6008237 or 6028109 or 6033656 or 6040147 or 6121319 or 6127424 or 6140354 or 6147090 or 6147109 or 6147250 or 6159997 or 6162805 or 6166049 or 6174665 or

6180138 or 6180625 or 6180660 or 20010028895 or 20020006919 ).pn:

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
Mh	) 1	4925672	1990-05-15	Gremm			
	2	4939248	1990-07-03	Yoshioka			
	3	4166907	1979-09-04	Krapcho			
	4	6262042	2001-07-17	Cook			
	5	6262047	2001-07-17	Zhu			
	6	6262098	2001-07-17	Huebner			
	7	6242605	2001-06-05	Raveendranath			•
	8	6277584	2001-08-21	Chu		•	
	9	6316029	2001-11-13	Jain		,	
M	10	RE37721	2002-05-28	Rosenblum		<i>J</i> .	•

APP ID=10792346

7/m Bal 4/1/06

Eisman	1994-02-01	H0001286	11	M
Novello	1957-10-08	2809194	12	
Ugi	1963-10-22	3108097	13	Ti
Ehrhart	1964-10-06	3152173	14	Ti
Hermans	1966-08-16	3267104	15	Ħi
Regnier	1968-08-27	3399192	16	Ħi
Nelson	1972-09-19	3692895	17	Ħ
Giudicelli	1979-04-10	4148923	18	
Milavec	1980-12-16	4239763	19	H
Schwarz	1986-01-28	4567195	20	Ħi
Molloy	1986-12-02	4626549	21	Ħ
Lommen	1987-03-31	4654362	22	Ħ
Applezweig	1987-07-14	4680289	23	H
Holloway	1990-06-26	4937267	24	Hi
Berge	1992-03-03	5093365	25	H
Broze	1992-04-21	5106833	26	Ħ
Edgington	1992-05-05	5110730	27	Ħ
Mugica	1992-06-09	5120713	28	Ħ
Lin	1994-01-11	5278176	29	H
Wu	1994-10-25	5358852	30	H
Gasic	1995-01-31	5385885	31	Ħ
Mandeville	1997-12-30	5703188	32	Ħ
Kliewer	1999-11-30	5994554	33	$\forall$
Sahoo	1999-12-28	6008237	34	Ħ
Wilson	2000-02-22	6028109	35	$\forall$
Mikami	2000-03-07	6033656	36	╫
Ridker	2000-03-21	6040147	37	₭
Somers	2000-09-19	6121319	38	╁
Martin	2000-10-03	6127424	39	╫
Dax	2000-10-33	6140354	40	╬
DeNinno	2000-10-31	6147090	41	╫
	2000-11-14	6147109	42	╫
Liao	<del></del>		· · · · · · · · · · · · · · · · · · ·	$+\!$
Somers	2000-11-14	6147250	43	╬
Tsujita	2000-12-12	6159997	. 44	+
Hefti	2000-12-19	6162805	45	+
Smith	2000-12-26	6166049	46	劢
	2000-12-26 M JSC	6166049	46 D=1079234	<u>И</u> Р_ІГ

Lnal	47	6174665	2000-01-16	Dullien	]
	48	6180138	2001-01-30	Engh	] ,
	49	6180625	2001-01-30	Persson	]
W	50	6180660	2001-01-30	Whitney	]

# **US Published Applications**

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
m3	1	20010028895	2002-10-11	Bisgaier			•
m	2	20020006919	2002-01-17	Thosar			

Signature

gnature	Mark Ben	4/1/06	
	Examiner Name	Date	
· <u>-</u>			



### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Électronic Version v18 Stylesheet Version v18.0

> Title of Invention

Substituted azetidinone compounds, processes for preparing the same, formulations and uses thereof

Application Number:

10/792346

Confirmation Number:

4535

First Named Applicant:

**Duane Burnett** Attorney Docket Number: CV06038US01

Search string:

(6191117 or 6191159 or 6200998 or 6207697

or 6207699 or 6207822 or 6214831 or 6235706 or 6245743 or 6248781 or 6251852 or 5827536 or 5827541 or 5831091 or 5843984 or 5846966 or 5847008 or 5847115 or 5851553 or 5856473 or 5858409 or 5859051 or 5862999 or 5866163 or 5869098 or 5871781 or 5880148 or 5883109 or 5886171 or 5919672 or 5925333 or 5952003 or 5952321 or 5959123 or 5972389 or 5976570 or 5985936 or 5990102 or 5998441 or 6027747 or 6043257 or 6056975 or 6057342 or 6063764 or 6066653 or 6071899 or 6074670 or 6080767

or 6080778 or 6084082 ).pn.

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

[i	nit	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	m	1	6191117	2001-02-20	Kozachuk	•		
		2	6191159	2001-02-20	Pinto			
		3	6200998	2001-03-13	Sahoo	,		
		4	6207697	2001-03-27	Han		•	
		5	6207699	2001-03-27	Rothman			
		6	6207822	2001-03-27	Thiruvengadam			
		7	6214831	2001-04-10	Yokoo			
		8	6235706	2001-05-22	Gould			
		9	6245743	2001-06-12	Marlowe			•
	M	10	6248781	2001-06-19	Jeppesen			
 PP	PP_ID=10792346 Man Sed Y/1/06 Page 1							

		1 1		1
$\Delta m$	11 ·	6251852	2001-06-26	Gould
$\coprod$	12	5827536	1998-10-27	Laruelle
	13	5827541	1998-10-27	Yarwood
	14	5831091	1998-11-03	Ohmizu
	15	5843984	1998-12-01	Clay
$\Box$	16	5846966	1998-12-08	Rosenblum
	17	5847008	1998-12-08	Doebber
	18	5847115	1998-12-08	lwasaki
	19	5851553	1998-12-22	Myers
$\top$	20	5856473	1999-01-05	Shankar
abla	21	5858409	1999-01-12	Karetny
$\Box$	- 22	5859051	1999-01-12	Adams
$\prod$	23	5862999	1999-01-26	Czekai
	24	5866163	1999-02-02	Myers
	25	5869098	1999-02-09	Misra
	26	5871781	1999-02-16	Myers
	27	5880148	1999-03-09	Edgar
	28	5883109	1999-03-16	Gregg
	29	5886171	1999-03-23	Wu
	30	5919672	1999-07-06	Homann
	31	5925333	1999-07-20	Krieger
	32	5952003	1999-09-14	Guentensberger
	33	5952321	1999-09-14	Doherty
	34	5959123	1999-09-28	Singh
	35	5972389	1999-10-26	Shell
	36	5976570	1999-11-02	Greaves
	37	5985936	1999-11-16	Novak
	38	5990102	1999-11-23	Hickey
	. 39	5998441	1999-12-07	Palkowitz
	40	6027747	2000-02-22	Terracol
	41	6043257	2000-03-28	Dominguez
	42	6056975	2000-05-02	Mitra
	,43	6057342	2000-05-02	Fevig
	44	6063764	2000-05-16	Creasey
	45	6066653	2000-05-23	Gregg
Th.	46	6071899	2000-06-06	Hickey
PP_I	D=1079234	16	ur Be	4/1/0

M	) 47	6074670	2000-06-13	Stamm
	48	6080767	2000-06-27	Klein
	49	6080778	2000-06-27	Yankner
W	50	6084082	2000-07-04	Ravikumar

# Signature

Examiner Name	Date		
Myn 1 Ser	41,106		
	· /· /-		

Electronic Version v18 Stylesheet Version v18.0

6/38/04

Title of Invention

Substituted azetidinone compounds, processes for preparing the same, formulations and uses thereof

Application Number:

10/792346

Confirmation Number:

4535

First Named Applicant:

**Duane Burnett** 

Attorney Docket Number: CV06038US01

Search string:

( 6090830 or 6090839 or 6093812 or 6096883

or 6099865 or 6103705 or 6110493 or 6117429

or 6133001 or 6139873 or 6143885 or 5567439

or 5576014 or 5587172 or 5587180 or 5591456

or 5593971 or 5595761 or 5607697 or 5612353

or 5612367 or 5612378 or 5618707 or 5622719

or 5622985 or 5624920 or 5627176 or 5631023 or 5631365 or 5633246 or 5635210 or 5639475

or 5639739 or 5656624 or 5661145 or 5674893

or 5688785 or 5688787 or 5688990 or 5691375

or 5698527 or 5698548 or 5703234 or 5709886

or 5718388 or 5728827 or 5734077 or 5739321

or 5744467 or 5747001 ).pn.

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

			<del></del>	<del></del>			
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
M	1	6090830	2000-07-18	Myers			
	2	6090839	2000-07-18	Adams	Ī ·		
	3	6093812	2000-07-25	Thiruvengadam	]		•
	4	6096883	2000-08-01	Wu	]		
	5	6099865	2000-08-08	Augello	]		
	6	6103705	2000-08-15	Uzan	]		
	7	6110493	2000-08-29	Guentensberger	]		
	8	6117429	2000-09-12	Bucci	]		
	9	6133001	2000-10-17	Homann	]	,	
Vn	10	6139873	2000-10-31	, Hughes	] .	,	
				·	Ī/	<i>/</i> .	

APP ID=10792346

Mm Jan 4/1/06

√∰ 11	6143885	2000-11-07	Choi
12	5567439	1996-10-22	Myers
13	5576014	1996-11-19	Mizumoto
14	5587172	1996-12-24	Cherukuri
15	5587180	1996-12-24	Allen
16	5591456	1997-01-07	Franson
17	5593971	1997-01-14	Tschollar
18	5595761	1997-01-21	Allen
19	5607697	1997-03-04	Alkire
20	5612353	1997-03-18	Ewing
21	5612367	1997-03-18	Timko
22	5612378	1997-03-18	Tianbao
23	5618707	1997-04-08	Homann
24	5622719	1997-04-22	Myers
25	5622985	1997-04-22	Olukotun
26	5624920	1997-04-29	McKittrick
27	5627176	1997-05-06	Kirkup
28	5631023	1997-05-20	Kearney
29	5631365	1997-05-20	Rosenblum
30	5633246	1997-05-27	McKittrick
31	5635210	1997-06-03	Allen
32	5639475	1997-06-17	. Bettman
33	5639739	1997-06-17	Dominguez
34	5656624	1997-08-12	Vaccaro
35	5661145	1997-08-26	Davis
36	5674893	1997-10-07	Behounek
37	5688785	1997-11-18	Vaccaro
38	5688787	1997-11-18	Burnett
39	5688990	1997-11-18	Shankar
40	5691375	1997-11-25	Behounek
41	5698527	1997-12-16	Kim
42.	5698548	1997-12-16	Dugar
43	5703234	1997-12-30	lwasaki
44	5709886	1998-01-20	Bettman
45	5718388	1998-02-17	Czekai
<b>(b)</b> 46	5728827	1998-03-17	Kannapan
P_ID=1079234	16	lan G	Sept 4/1/

Page 2 of 3

VM) 47	5734077	1998-03-31	Regnier
48	5739321	1998-04-14	Wu
49	5744467	1998-04-28	McKittrick
<b>M</b> 50	5747001	1998-05-05	Wiedmann

# Signature

Examiner Name	Pate ₁
Man Bu	4/1/06



# **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

ctronic Version v18 Stylesheet Version v18.0 6/30/04

Title of Invention Substituted azetidinone compounds, processes for preparing the same, formulations and uses thereof

**Application Number:** 

10/792346

4535

Confirmation Number: First Named Applicant:

**Duane Burnett** 

Attorney Docket Number: CV06038US01 Search string:

(5753254 or 5756470 or 5759865 or 5767115

or 5776491 or 5807576 or 5807577 or 5807578 or 5807834 or 5808056 or 5817806 or 4952689 or 4961890 or 4983597 or 4990535 or 5030628 or 5073374 or 5091525 or 5099034 or 5100675 or 51.12616 or 5120729 or 5130333 or 5145684 or 5157025 or 5162117 or 5178878 or 5188825 or 5190970 or 5204461 or 5219574 or 5223264 or 5229362 or 5229381 or 5229510 or 5260305 or 5286631 or 5298497 or 5306817 or 5318767

or 5348953 or 5350868 or 5384124 or 5399363 or 5401513 or 5412092 or 5429824 or 5446464

or 5461039 or 5464632 ).pn.

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
W	1	5753254	1998-05-19	Khan			
P	2	5756470	1998-05-26	Yumibe			
	3	5759865	1998-06-02	Bruns			
	4	5767115	1998-06-16	Rosenblum			
	5	5776491	1998-07-07	Allen			
	6	5807576	1998-09-15	Allen			
	7	5807577	1998-09-15	Quali			
	8	5807578	1998-09-15	Acosta-Cuello			
	9	5807834	1998-09-15	Morehouse			-
	10	5808056	1998-09-15	Amato			
PP_I	D=1079234	6	Men	Been 4	  1/6	96	Page 1 o

1/m	11	5817806	1998-10-06	Rossi
	12	4952689	1990-08-28	Kawashima
	13	4961890	1990-10-09	Boyer
	14	4983597	1991-01-08	Yang
	15	4990535	1991-02-05	Cho
	16	5030628	1991-07-09	Joyeau
	17	5073374	1991-12-17	McCarty
	18	5091525	1992-02-25	Brennan
	19	5099034	1992-03-24	Yoshida
	20	5100675	1992-03-31	Cho
	21	5112616	1992-05-12	McCarty
	22	5120729	1992-06-09	Chabala
	23	5130333	1992-07-14	Pan
	24	5145684	1992-09-08	Liversidge
	25	5157025	1992-10-22	Aberg
	26	5162117	1992-11-10	Stupak
	27	5178878	1993-01-12	Wehling
	28	5188825	1993-02-23	lles
	29 .	5190970	1993-03-02	Pan
	30	5204461	1993-04-20	Murayama
	31	5219574	1993-06-15	. Wehling
	32	5223264	1993-06-29	Wehling
Ш	33	5229362	1993-07-20	Kirst
	34	5229381	1993-07-20	Doherty
	35	5229510	1993-07-20	Knight
	36	5260305	1993-11-09	Dennick
	37	5286631	1994-02-15	Boeck
	38	5298497	1994-03-29	Tschollar
	39	5306817	1994-04-26	Thiruvengadam
	40	5318767	1994-06-07	Liversidge
	41	5348953	1994-09-20	Doherty
	42	5350868	1994-09-27	Yoshida
	43	5384124	1995-01-24	Courtelle
	44	5399363	1995-03-21	Liversidge
	45	5401513	1995-03-28	Wehling
Lym [	46	5412092	1995-05-02	Rey
			10 1	,

APP\_ID=10792346

Mm Ben 4/1/06

Im	47	5429824	1995-07-04	June					
	48	5446464	1995-08-29	Feldle					
	49	5461039	1995-10-24	Tschollar					
10	50	5464632	1995-11-07	Cousin					
Sign	Signature Man Bed 4/1/00								
			, 0, 9 0	1 //	700	İ			
		Examiner			Date Date				
					Date				

PTO SB 08A (08-00)

s sign (+) inside this box 🔿 🛨

Approved for use through 10/31/2001, OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF U.S. Patent and Trademark Office: U.S. DEPARTMENT OF U.S. Patent and Trademark Office: U.S. DEPARTMENT OF U.S. Patent and Trademark Office: U.S. DEPARTMENT OF U.S. Patent and Trademark Office: U.S. DEPARTMENT OF U.S. Patent and Trademark Office: U.S. DEPARTMENT OF U.S. Patent and Trademark Office: U.S. DEPARTMENT OF U.S. Patent and Trademark Office: U.S. DEPARTMENT OF U.S. Patent and Trademark Office: U.S. DEPARTMENT OF U.S. Patent and Trademark Office: U.S. DEPARTMENT OF U.S. Patent and Trademark Office: U.S. DEPARTMENT OF U.S. Patent and Trademark Office: U.S. DEPARTMENT OF U.S. Patent and Trademark Office: U.S. DEPARTMENT OF U.S. Patent and U.S. Patent a

Substitute for form	n 1449A PTO						
				Complete if Known			
INFORM	MATION D	DISCLOS	URE	Application Number	10/792,346		
CTATE	MENT BY	APPI IC	ANT	Filing Date	March 3, 2004		
SIAILI	MENT DI	AI I LIG		First Named Inventor	Duane Burnett et al.		
(use a	s many sheets as nec	essary)		Group Art Unit	1624		
•				Examiner Name	Mark L. Berch		
Sheet	1	of	2	Attorney Docket Number	CV06038US/4686-050107		

	U.S. PATENT DOCUMENTS										
Examiner Initials*	Cite	No.1		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant					
	140.	Number	Kind Code <sup>2</sup>	· · · · · · · · · · · · · · · · · · ·	MM-DD-YYYY	Passages or Relevant Figures Appear					
		N/A									
	ļ										
				<u> </u>							
				<del></del>		· · · · · · · · · · · · · · · · · · ·					
					,						
	· ·										
		1			1						

٠.	FOREIGN PATENT DOCUMENTS									
Examiner	Cite			Name of Patentee or	Date of Publication	Pages, Columns, Lines,				
Initials	Office <sup>3</sup> Number <sup>4</sup> Code <sup>3</sup> Document	of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>						
7V3	1	wo	99/22728	Al	Arch Development  Corporation	May 14, 1999				
100	2	wo	01/96347	Al	Bristol-Myers Squibb Company	December 20, 2001				
7 7										
					l			ı		

Examiner	-nn	\ <del>\</del> \	Date	1, 1,	100
Signature		1 Dens	Considered	4.77	/ (b)
FYAMINER.	Initial if reference considered, whether or not o	itation is in conformance with	MPFP 609 Draw line throw	h citation it not if	conformance and not

considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please type a		·		نجه مادندات		_	
Please type a	DIUS	SIRN (	+)	inside ini	S DOX	7	1

#### Approved for use through 10/31/2001, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMB control number.

orm 1449A:PTO						
			Complete if Known			
MATION	I DISCLO	DSURE	Application Number	10/792,346		
MENTE	Y APPI	ICANT	Filing Date	March 3, 2004		
	,, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		First Named Inventor	Duane Burnett et al.		
se as many sheets a	s necessary)		Group Art Unit	1624		
•			Examiner Name	Mark L. Berch		
2	of	2	Attorney Docket Number	CV06038/4686-050107		
	MATION MENT E	MATION DISCLO MENT BY APPL ic as many sheets as necessary)	MATION DISCLOSURE EMENT BY APPLICANT Se as many sheets as necessary)	Complet Application Number Filing Date First Named Inventor Group Art Unit Examiner Name		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, cite and/or country where published.	T²
My	3	Luis Gruberg, MD, "Inflammatory Markers in Acute Coronary Syndromes: C-reactive Protein (CRP) and Chlamydia," American Heart Association Scientific Sessions 2000.	
_			
Examiner Signature		Man & Bate Considered 4 1/06	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Emer Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sheet 1 of 1

FORM PTO-1449 U.S. DEPA			AND TRADE	F COMMERCE MARK OFFICE	CV0638US01	APPLICATION NO.: 10/792,346				
INFC	RM	ATION DISCLOS BY APPLIC	URE STA		APPLICANT: Duane A. But					
				FILIN		FILING DATE:		GROUP:		
	(Us	e several sheets	if necessi	ary)	03/03/2004		1614			
			U.S. PA	TENT DOCU		CLASS	T. SUB-	E1 11/0 D	ATE IE	
*EXAMINER		DOCUMENT	DATE	DATE NAME			SUB- FILING DAT		RIATE	
INITIAL		NUMBER		<del></del>		<del> </del>	00.00			
	AA		<del></del>			<del>                                     </del>				
	AB			<del> </del>		+				
	AC	<u> </u>								
	AD		ļ		<del> </del>	<del> </del>				
	AE					<del>                                     </del>	1			
	AF		<del></del>		· · · · · · · · · · · · · · · · · · ·	1				
<u> </u>	AG					1				
	AH.		<del> </del>	<del>- :</del>		1				
	Al		<del> </del>		•			<u> </u>		
	AJ		<del></del>			1				
<u> </u>	AK		<u> </u>	<u> </u>		· ·				
		1		PATENT DO	CUMENTS		T = 1 = 1	1	ATION	
		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUB- CLASS	TRANSI YES	NO	
	AL	NONDER	<del> </del>							
<del></del>	AM		+		· · · · · · · · · · · · · · · · · · ·					
	AN									
	AO		<del> </del>							
	AP	<del></del>						<u> </u>		
		HER DOCUMEN	TS (Includ	ling Author. Ti	tle Date. Per	tinent P	ages, E	tc.)		
MA	AQ	Copy of Internation	al Search R	eport for Internati	onal Application	No. PCT	/US2004/	006428		
1100	<u> </u>	(08/04/2004) - 5 Pa	ages							
	AR				<u> </u>					
	AS				<del></del>	<del></del>	<del></del>			
	AT				<u>-</u>	<del>.</del>				
	AU			····						
· [	AV			LOATE	CONSIDERED	<del></del>				
EXAMINE	R	Mar	Berg		CONSIDERED	4/1	106			
*EXAMINI	ER: I	nitial if reference con	aidored usb	ether or not citation	on is in conform	ance with	MPEP 60	9; Draw	line	
through ci	tation	if not in conformance	e and not co	ansidered, includ	e copy or uns to	TILL ANDEL ÎN	W. VOITIE			

•	1	Now The second			SI	neet <u>1</u>	of	1	
FORM PTO-	1449	PATEN	PARTMENT T AND TRAD	OF COMMERCE DEMARK OFFICE	ATTY. DOCKE	_	APPLIC/ 10/792,		0.:
INFO	RM	ATION DISCLO	APPLICANT: Duane A. Burnett, et al.						
	(U	se several sheet	FILING DATE: GROUP: To Be Assigned				d		
-			U.S. F	PATENT DOCU	MENTS			·	
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAI	ME _	CLASS		FILING APPRO	
	AA								
	AB								
	AC_								
	AD								
	AE				- 	<u> </u>			
•	AF						<u> </u>		
	AG						ļ		
	AH	L			·		ļ <u> </u>		
	Al	<u></u>				<b>.</b>	ļ		_
	AJ						<u>'</u>		
	AK					<u> </u>	<u> </u>		
	_			N PATENT DO	CUMENTS				
	i	DOCUMENT	DATE	COUNTRY		CLASS	SUB-		LATION
		NUMBER					CLASS	YES	NO
	AL	·				ļ	<u> </u>	<b></b>	ļ
	AM							<u> </u>	
	AN					ļ	<u> </u>		ļ
	AO						ļ	<u> </u>	
	AP					J	<u> </u>	<u> </u>	I
	OT	HER DOCUMEN	ITS (Inclu	ding Author, Tit	ie, Date, Pen	inent Pa	ages, Et	c.)	
$\bigcap$	AQ	T. Kosoglou <i>et al.</i> , in LDL-Cholesterol	"CoAdminis	stration of Simvasta	atin and Ezetimi	be Leads	to Signific	cant Red	duction
MD		Prevention to Inter	vention, Lyc	ngs of 3° internation, France p. 271 (2	onai Congress o 2000), XP00802	7568	y, Artery	Disease	irom
V -	AR								
	AS								
1	AT				· · · · · · · · · · · · · · · · · · ·				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED/

AU

EXAMINER